

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
03850/010001

In Re Application Of: **Oliver KIENZLE et al.**

#5

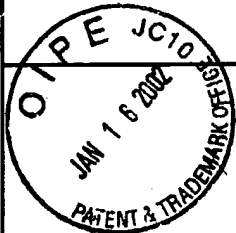
Serial No.
09/934,262

Filing Date
August 21, 2001

Examiner

Group Art Unit
1756

Title: **APPARATUS AND METHOD FOR EXPOSING A RADIATION SENSITIVE LAYER BY MEANS OF CHARGED PARTICLES AS WELL AS A MASK FOR THIS PURPOSE**



Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

RECEIVED
JAN 22 2002
TC 1700

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
03850/010001

In Re Application Of: **Oliver KIENZLE et al.**

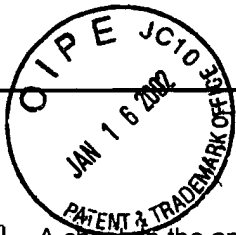
Serial No.
09/934,262

Filing Date
August 21, 2001

Examiner

Group Art Unit
1756

Title: **APPARATUS AND METHOD FOR EXPOSING A RADIATION SENSITIVE LAYER BY MEANS OF CHARGED PARTICLES AS WELL AS A MASK FOR THIS PURPOSE**



RECEIVED
JAN 22 2002
TC 1700

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **12/11/01** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Tawana L. Garcia
Signature of Person Mailing Correspondence

Tawana L. Garcia

Typed or Printed Name of Person Mailing Correspondence

***This certificate may only be used if paying by deposit account.**

[Signature]
Signature

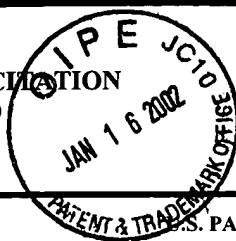
Jonathan P. Osha, Reg. No. 33,986
ROSENTHAL & OSHA L.L.P.
1221 McKinney, Suite 2800
Houston, Texas 77010

Telephone: 713/228-8600
Facsimile: 713/228-8778

Dated: **12/11/01**

CC:

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)



Docket Number (Optional) 03850/010	Application Number 09/934,262
Applicant(s) Oliver KIENZLE et al.	
Filing Date August 21, 2001	Group Art Unit 1756

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	4,521,711	06/04/1985	Okamoto et al.	310	313 B	04/07/1983
	A2	4,736,172	04/05/1988	Ebneter	333	193	09/02/1985
	A3	4,910,839	03/27/1990	Wright	310	25.35	12/03/1984
	A4	5,073,763	12/17/1991	Wright	333	193	11/02/1990
	A5	5,162,689	11/10/1992	Fliegel et al.	310	313 B	05/01/1991
	A6	5,264,751	11/23/1993	Dufilie et al.	310	313 B	09/30/1992
	A7	5,287,036	02/15/1994	Penunuri	310	313 R	03/02/1992
	A8	5,327,039	07/05/1994	Yamada	310	313 B	06/23/1992
	A9	5,334,960	08/02/1994	Penunuri	333	193	02/16/1993
	A10	5,353,206	11/15/1994	Machui et al.	333	195	11/14/1990
	A11	5,406,159	04/11/1995	Wright	310	313 D	11/11/1993

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

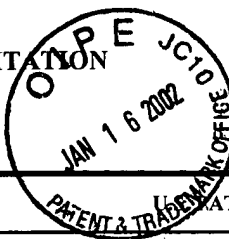
	A12	ESRF Annual Report for 1995/1996 located at http://www.esrf.fr/info/science/annrep/95-96/report/exp/id32/id32.htm , 9 pgs/

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional) 03850/0100	Application Number 09/934,262
Applicant(s) Oliver KIENZLE et al.	
Filing Date August 21, 2001	Group Art Unit 1756

UNITED STATES PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B1	5,406,159	04/11/1995	Wright	310	313 D	11/11/1993
	B2	5,773,911	06/30/1998	Tanaka et al.	310	313 B	07/29/1997
	B3	5,973,826	10/26/1999	Chapman et al.	359	355	02/20/1998
	B4	6,033,079	03/07/2000	Hudyma	359	857	03/15/1999
	B5	6,142,641	11/07/641	Cohen et al.	359	859	06/18/1998
	B6	6,147,574	11/14/2000	Kidoh	333	195	11/19/1998
	B7	5,646,584	07/08/1997	Kondratyey et al.	333	193	04/24/1995

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.